

rdxLOCK Software Certification Notice for RDX WORM

CERTIFICATION NOTICE

rdxLOCK enables archiving of data in unalterable form on RDX removable disk storage systems. This Windows-based software solution provides audit-proof archiving (WORM – Write Once Read Many) where data cannot be deleted or overwritten.

Software Product Name and Version: rdxLock versions 2.3, 2.3.2, 2.4

rdxLOCK software product compliance has been assessed by KPMG AG Wirtschaftsprüfungsgesellschaft, Frankfurt am Main, to the following regulations:

- SEC 17a/4F of the US Securities and Exchange Commission
- CFTC 1.31 (b) – (c) of the Commodity Futures Trading Commission
- IDW PS 880 of the Institut der Wirtschaftsprüfer, “Audit of Software Products”
- Regulations of German commercial and tax law (Sections 238, 239 ,and 257 HGB and Sections 145, 146, and 147 AO)
- Regulations of Austrian and Swiss commercial and tax law, including Section 132 of the Austrian Federal Fiscal Code (BAO) and the Swiss Code of Obligations in conjunction with the Swiss Business Records Ordinance (GebüV), as of 1 January 2013
- The German “Grundsätze ordnungsmäßiger Buchführung” (GoB) – Generally accepted accounting principles (GAAP)
- IDW Accounting Practice Statement “Principles of Proper Accounting when using Information Technology” as amended on 24 September 2002 (IDW RS FAIT 1)
- IDW Accounting Practice Statement “Principles of Proper Accounting when using Electronic Archiving Procedures” (IDW RS FAIT 3) of 11 July 2006
- “Grundsätze zur ordnungsmäßigen Führung und Aufbewahrung von Büchern, Aufzeichnungen und Unterlagen in elektronischer Form sowie zum Datenzugriff” (GoBD) – Generally accepted principles for the proper keeping and retention of books, records and documents in electronic form and for data access of 14 November 2014.

To request a copy of the KPMG audit report, please contact your Overland Tandberg Sales representative.